

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Lance COTTRELL et al.

Confirmation No.: 8536

Serial Number: 10/560,725

Group Art Unit: 2134

Filed: August 21, 2008

Atty. Docket No.: 053549.00017

For: SECURE NETWORK PRIVACY SYSTEM

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

May 17, 2011

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the fifth inventor's last name in the original filing receipt. For the convenience of the U.S. Patent and Trademark Office, attached is a copy of the original filing receipt on which the error has been noted. We are also enclosing a copy of the Declaration signed by the fifth inventor.

Please charge any deficiency or credit any overpayment with respect to this paper to Counsel's Deposit Account No. 50-2222.

Respectfully submitted,

/Alicia M. Choi/

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Enclosures: Marked-up Copy of Filing Receipt
Declaration



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/560,725	08/21/2008	2134	1390	IF03001USU	45	7

CONFIRMATION NO. 8536

34408
THE ECLIPSE GROUP LLP
10605 BALBOA BLVD., SUITE 300
GRANADA HILLS, CA 91344

FILING RECEIPT



0000000032292257

Date Mailed: 09/29/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

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WHLEY

Assignment For Published Patent Application

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Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US2004/020562 06/25/2004
which claims benefit of 60/483,277 06/25/2003
and claims benefit of 60/482,786 06/25/2003
and claims benefit of 60/482,628 06/25/2003
and claims benefit of 60/482,784 06/25/2003
and claims benefit of 60/482,785 06/25/2003

Foreign Applications

If Required, Foreign Filing License Granted: 09/25/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/560,725**

Projected Publication Date: 01/08/2009

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Secure Network Privacy System

Preliminary Class

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PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

EXHIBIT B

Atty. Docket No. IF03001USU

PATENT

DECLARATION

As a below-named joint inventor, I hereby declare that:

1. My residence, post office address, and citizenship are as stated below next to my name.

2. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention titled:

SECURE NETWORK PRIVACY SYSTEM

the specification of which (check one):

- ☐ is attached hereto.
- ☒ was filed on: June 25, 2004 as
- ☐ Application Serial No.: PCT/US2004/020562
- ☐ and was amended on: _____

3. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

4. I acknowledge the duty to disclose information which is material to patentability as described in 37 C.F.R. 1.56, which is defined on the attached page.

5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

6. I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional patent applications listed below.

Prior United States Application(s)

<u>60/483,277</u>	<u>June 25, 2003</u>	<u>Expired</u>
(Application Serial No.)	(Filing Date)	(Status)-(Patented, pending, abandoned)

<u>60/482,786</u> (Application Serial No.)	<u>June 25, 2003</u> (Filing Date)	<u>Expired</u> (Status)-(Patented, pending, abandoned)
<u>60/482,628</u> (Application Serial No.)	<u>June 25, 2003</u> (Filing Date)	<u>Expired</u> (Status)-(Patented, pending, abandoned)
<u>60/482,784</u> (Application Serial No.)	<u>June 25, 2003</u> (Filing Date)	<u>Expired</u> (Status)-(Patented, pending, abandoned)
<u>60/482,785</u> (Application Serial No.)	<u>June 25, 2003</u> (Filing Date)	<u>Expired</u> (Status)-(Patented, pending, abandoned)

Full name of first joint inventor: LANCE M. COTTRELL

Signature of inventor: _____

Date: _____

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Full name of second joint inventor: JAMES A. REYNOLDS

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Full name of third joint inventor: DARYA MAZANDARANY

Signature of inventor: _____

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Full name of fourth joint inventor: STEVE WALSH

Signature of inventor: _____

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Full name of fifth joint inventor: PELEUS G. UHLEY

Signature of inventor: Peleus G. Uhley

Date: 8/8/07

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Full name of sixth joint inventor: GENE NELSON

Signature of inventor: _____

Date: _____

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Spring Valley, CA 91977
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Section 1.56 Duty to Disclose Information Material to Patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs, when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

(e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.